

Attorney's Docket No.: 42P13843D

Patent

In re the Application of: Michael T. Morse

(inventor(s))

Application No.: 10/808,787

Filed: March 23, 2004

For: EPITAXIAL GROWTH FOR WAVEGUIDE TAPERING

(title)

Mail Stop Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR: Transmitted herewith is a Response to Notice of Non-Compliant Amendment for the above-referenced application.

       Applicant claims small entity status. See 37 CFR 1.27.

  X   No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 9	Minus	** 20	0
Indep. Claims	* 1	Minus	*** 3	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

SMALL ENTITY

Rate	Additional Fee
X9	\$
X44	\$
+150	\$
Total Add. Fee	\$

OTHER THAN A  
SMALL ENTITY

Rate	Additional Fee
X18	\$ 0
X88	\$ 0
+300	\$
Total Add. Fee	\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

**FIRST CLASS CERTIFICATE OF MAILING**

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on October 29, 2004  
Date of Deposit

Yuko Tanaka  
Name of Person Mailing Correspondence

Y. Tanaka  
Signature

October 29, 2004  
Date

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

\_\_\_\_\_ A duplicate copy of this sheet is enclosed.

X \_\_\_\_\_ The Under Secretary of Commerce for Intellectual Property and Director of the United States  
Patent and Trademark Office is hereby authorized to charge payment of the following fees associated  
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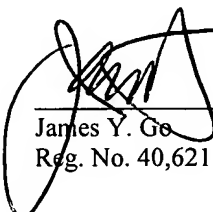
X \_\_\_\_\_ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

X \_\_\_\_\_ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

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## Patent

In re Application of:

Michael T. Morse

Examiner: Not Yet Assigned

Serial No. 10/808,787

Art Unit: 1765

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WAVEGUIDE TAPERING

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Commissioner for Patents  
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Alexandria, VA 22313-1450

## RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Sir:

In response to the Notice of Non-Compliant Amendment mailed October 2, 2004, a corrected "Amendments to the Claims" section is provided herein.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 4 of this paper.